



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/056,927

01/24/2002

Brian S. Medower

4017

32605

7590

02/11/2008

MACPHERSON KWOK CHEN & HEID LLP

2033 GATEWAY PLACE

SUITE 400

SAN JOSE, CA 95110

EXAMINER

MAYES, MELVIN C

ART UNIT

PAPER NUMBER

1791

MAIL DATE

DELIVERY MODE

02/11/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/056,927

**Applicant(s)**

MEDOWER ET AL.

**Examiner**

Melvin C. Mayes

**Art Unit**

1791

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 10 December 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-11, 13, 15-23, 25 and 26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11, 13, 15-23, 25 and 26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

(1)

A request for continued examination under 37 CFR 1.114 was filed in this application after appeal to the Board of Patent Appeals and Interferences, but prior to a decision on the appeal. Since this application is eligible for continued examination under 37 CFR 1.114 and the fee set forth in 37 CFR 1.17(e) has been timely paid, the appeal has been withdrawn pursuant to 37 CFR 1.114 and prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on December 10, 2007 has been entered.

***Claim Rejections - 35 USC § 103***

(2)

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

(3)

Claims 1-4, 6-11, 13, 15-23, 25 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Edwards 2001/0016301 in view of Pan et al. 4,960,680, Berg et al. 2001/0036148 and JP 3-86943.

Edwards discloses a method of making optical disk from a master comprising:  
providing a glass master substrate;  
depositing a photosensitive material (photoresist) on the substrate;  
exposing the material to laser on a recording table and developing (etching) the photosensitive material to form grooves;

forming a first stamper (father stamper) from the master disk;  
forming a second stamper (mother stamper) from the first stamper; and  
forming replica disk from the second stamper by molding.

The deposited photosensitive material and formed grooves may have a depth typically of between 50 and 120 nm. The replica disk may be optical data disk which include data pits, grooves, bumps or ridges and land or land areas and may be of various types of recordable optical disk such as phase change disk formats and has wide, flat smooth lands for positioning user recorded data thereon. Edwards discloses that the father stamper (first stamper) can be made from the master disk by electroforming using a nickel bath and a mother stamper (second stamper) can be made from the father stamper by electroforming using a nickel bath [0001]-[0075]. Edwards does not specifically disclose using the mother stamper (second stamper) to make a first surface optical disk of plastic material, deposited phase-change material and deposited dielectric layer over the phase change material and consisting of no further layers. Edwards disclose using the lands on the optical disk for positioning user recorded data thereon (writeable area) but do not specifically disclose providing the bumps as a read-only area of the disk.

Pan et al. teach that a write-once recordable optical element can comprise a substrate such as of polycarbonate, optical recording layer of SbInSn alloy and protective overcoat layer on the optical recording layer (col. 2-6).

Berg et al. teach that optical media disks have read and/or write capabilities and teach that read-only information can be provided by pits or bumps being recorded [0010]-[0011].

JP 3-86943 (JP '943) teaches that optical recording medium such as phase change type is provided with a protective film that has high mechanical strength and does not produce peeling and cracking by providing, on at least one surface of the recording layer, a protective film of silicon oxynitride of atomic number ratio of silicon, nitrogen and oxygen within a specified range. JP '943 teaches for a recording layer of thickness of 80 nm, a protective layer of silicon oxynitride of thickness 80 nm provided on the recording layer can provide sufficient environmental resistance characteristics (Translation pgs. 2-17).

It would have been obvious to one of ordinary skill in the art to have modified the method of Edwards for making a molded recordable phase change molded optical disk by forming the disk by depositing phase-change material of SbInSn alloy directly on a molded polycarbonate replica disk, as Pan et al. teach that a recordable optical disk can be made of an injection molded polycarbonate substrate on which is directly deposited a recording layer of SbInSn alloy. Depositing a dielectric layer of silicon oxynitride of atomic number ratio of silicon, nitrogen and oxygen within a specified range on the SbInSn alloy phase-change material would have been obvious to one of ordinary skill in the art, as Pan et al. teach that a coating of wear resistant material, anti-reflective dielectric overcoat or protective overcoat is provided on the phase-change alloy, and JP '943 teaches that that the use of silicon oxynitride of atomic number ratio of silicon, nitrogen and oxygen within a specified range on the recording layer of optical recording medium provides a protective film of high mechanical strength and reduced peeling and cracking. The use of silicon oxynitride as a wear resistant material, dielectric protective overcoat layer on the SbInSn alloy phase-change material on the polycarbonate substrate would have been obvious to one of ordinary skill in the art, as taught by JP '943.

Providing the plastic replica disk with both bumps forming a read-only area and the lands for a writeable area would have been obvious to one of ordinary skill in the art because Edwards discloses that the optical replica disk may include data pits, grooves, bumps or ridges and lands, the lands for positioning user recorded data thereon and Berg et al. teach that optical media disks can have both read and write capabilities. Providing the replica disk with the read capabilities, in addition to the write capabilities of the lands, by bumps on the disk would have been obvious to one of ordinary skill in the art because Berg et al. teach that read-only information can be provided by pits or bumps and it is known in the art to provide optical disk with both readable and writeable capabilities.

Providing the silicon oxynitride protective dielectric layer of thickness of 80 nm would have been obvious to one of ordinary skill in the art, as taught by JP '943, as thickness of protective silicon oxynitride suitable to provide sufficient environmental resistance characteristics. By providing a silicon oxynitride protective dielectric layer of thickness of 80 nm on a phase change material layer of similar thickness, a dielectric layer is obviously deposited having a thickness that enhances an optical phase difference between first and second states of the phase-change material. As set forth by Figure 11 of the present specification, any thickness of protective dielectric layer up to 125 nm provides change in optical phase between the two states of the phase change material that is greater than the change in optical phase when no dielectric layer is provided. Thus the thickness of silicon oxynitride suggested by JP '943 which provides a sufficient protective dielectric layer obviously results in a greater change in optical phase between the two states of the phase change material compared to no protective dielectric layer provided.

(4)

Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over in view of Pan et al. 4,960,680, Berg et al. 2001/0036148 and JP 3-86943 as applied to claim 4, and further in view of Dobbin RE 34,506.

Dobbin teaches that for manufacturing an optical disc master, an alternative to the photoresist mastering system involves the use of a material which undergoes ablation when exposed to laser, the advantages over the photoresist process including reduction in process steps such as curing (exposing) and developing (etching) which results in less costly procedure and shorter completion time (Col. 2, lines 23-50).

It would have been obvious to one of ordinary skill in the art to have modified the method of the references as combined for making a first surface optical disk by providing the master with grooves using a photoresist material which undergoes laser ablation instead of using a photoresist material which undergoes exposing and etching, as taught by Dobbin, to reduce process steps which results in less costly procedure and shorter completion time. The use of photoresist material which undergoes laser ablation would have been obvious to one of ordinary skill in the art as an alternative to a photoresist which undergoes laser exposing and etching to form a master with less process steps, as taught by Dobbin.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melvin C. Mayes whose telephone number is 571-272-1234. The examiner can normally be reached on Mon-Fri 7:30 AM - 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Phillip C. Tucker can be reached on 571-272-1095. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Melvin C. Mayes  
Primary Examiner  
Art Unit 1791

MCM  
February 10, 2008

/Melvin C. Mayes/  
Primary Examiner, Art Unit 1791